

Attorney Docket No.: P07 37217

Applicant or Patentee: Stefan-M. Pulst

Serial No. or Patent No.:

Filed or Issued: October 8, 1996

Title: NUCLEIC ACID ENCODING SPINOCEREBELLAR ATAXIA-2 AND PRODUCTS RELATED THERETO

12/05/96

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 C.F.R. §§1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION)**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION	CEDARS-SINAI MEDICAL CENTER
ADDRESS OF ORGANIZATION	8700 BEVERLY BLVD. LOS ANGELES, CALIFORNIA 90048-1865

## TYPE OF ORGANIZATION

- ☐ University or other Institution of Higher Education
- ☒ Tax Exempt under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c) (3))
- ☐ Nonprofit Scientific or Educational under Statute of State of the United States of America (Name of State \_\_\_\_\_)  
(Citation of Statute \_\_\_\_\_)
- ☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c) (3)) if located in the United States of America
- ☐ Would qualify as nonprofit Scientific or Educational under Statute of State of the United States of America if located in the United States of America (Name of State \_\_\_\_\_) (Citation of Statute \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. §1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled **NUCLEIC ACID ENCODING**

• **SPINOCEREBELLAR ATAXIA-2 AND PRODUCTS RELATED THERETO** by inventor(s) **STEFAN M. PULST** described in:

- ☐ the specification filed herewith
- ☒ application Serial No. **08/727,084**, filed **October 8, 1996**
- ☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I authorize and request insertion of the serial number of the application when officially known.


If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 C.F.R. §1.27).

Full Name	_____
Address	_____
	<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization
Full Name	_____
Address	_____
	<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization
Full Name	_____
Address	_____
	<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING	<u>Peter E. Braveman, Esq.</u>
TITLE IN ORGANIZATION	<u>Senior Vice President for Legal Affairs and General Counsel</u>
ADDRESS OF PERSON SIGNING	<u>8700 Beverly Boulevard, Los Angeles, California 90048</u>
SIGNATURE	<u></u> DATE <u>11/14/96</u>

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DECLARATION FOR PATENT APPLICATION

As the below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled NUCLEIC ACID ENCODING SPINOCEREBELLAR ATAXIA-2 AND PRODUCTS RELATED THERETO, the specification of which

\_\_\_\_\_ is attached hereto.  
X was filed on October 8, 1996, (Attorney Docket No. P07 37217) as Application Serial No. 08/727,084 and was amended on (or amended through) \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56(a).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full name of first inventor: STEFAN M. PULST

Inventor's signature: Stefan M. Pulst

Date: 11-13-96

Residence: Los Angeles, California CA

Citizenship: Germany

Post Office Address: 8125 Skyline Drive  
Los Angeles, California 90046